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U.S. PTO  
JCS43

10-26-00 A

IBM Docket No. DE9-1999-0075US1

## In the United States Patent and Trademark Office Patent Application Transmittal



Transmitted herewith for filing is the Patent Application of:

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For: Method and System for Maintaining Software via Network

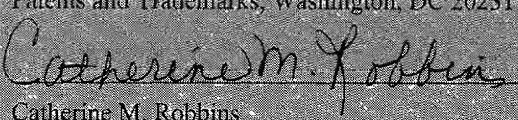
Enclosed are

- 33 pages of specification, including 13 claims, plus 8 sheets of formal drawings.
- An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- A certified copy of a/an German S.N. 99123831.2 application.
- Declaration and Power of Attorney.  
PTO-1449 & references
- A return post card
- Other:

### Filing Fee Calculation (For Other Than Small Entity)

Basic Fee:					\$710.00
Claims Fees:	Filed	Limi	Extra	Rate per Extra	
Total claims:	13	20	0	\$18.00	\$0.00
Independent claims:	2	3	0	\$80.00	\$0.00
<input checked="" type="checkbox"/> Multiple Dependent Claim Presented				\$270.00	\$0.00
				Total	\$710.00

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## METHOD AND SYSTEM FOR MAINTAINING SOFTWARE VIA NETWORK

### BACKGROUND OF THE INVENTION

The present invention relates to a method and system for maintaining software products which are implemented in a plurality of client computer systems located decentralized relative to a centralized software maintenance institution via a network connecting them.

### DESCRIPTION AND DISADVANTAGES OF PRIOR ART

For the purpose of clearly defining the subject matter of the present invention the term 'software maintenance' is intended to include any tasks in conjunction with holding a user application software or a system software in a status which is adequate and in line with the actual requirements set up by the enterprise or a private person which uses said software for achieving its (business) goals. Said maintenance thus comprises to install new software products on a client system, update from an old version to a new version of the same software product, perform customization tasks at the client system and integrate any client-specific supplemental programs like user

exists which are logically connected with the software product to be maintained in the client system.

In prior art there are many different methods of the above mentioned software maintenance method. A particular aspect 5 which sets the focus on a particular subset of said plurality of methods is that the method and system according to the present invention is only concerned with solutions which can be provided via a network connecting the plurality of client systems with a server system related to the vendor of the 10 exemplary software product in question which is to be maintained.

In general, it is a difficult task to perform an update of an existing software product on a client system when the software product includes programs which generate a plurality of 15 processes in the computer system, or, when the processes have a large plurality of customer-specific logical or physical interfaces which must be reconfigured each time when an update of the product shall be performed.

Furthermore, the work which is necessary to perfectly adjust a 20 new version of the software product to the user at the client system increases when the so called customization of the product is difficult, or, when important program modifications as are for example user exits which had been manually programmed by the client staff and had been integrated in the

software product's old version have to be reconfigured and reintegrated on an update from the old version to the new version of a particular software product.

Thus, a general task of any software installation method or  
5 software maintenance method is to provide said maintenance work with a minimum effort. It should be noted that both - the client and the software vendor usually participate in this work.

When a software vendor has a large plurality of clients which use the vendor's software the obligation to help the client to succeed in properly installing a new version of the software product and to succeed in the client's customizing processes is a considerable burden for the software vendor. Generally, the vendor has to provide stuff like system programmers who now about the software product being sold and have particular knowledge about the client specific customization and modification processes required. This obligation increases the more complex is the software product and the more dependent is the client for achieving a non-disturbed workflow with the  
20 software product in his enterprise.

US patent 5,421,009 discloses a method for remote installation of software over a computer network which allows a user to interactively select each remote computer system as a target for software installation or to provide a file containing a

list of all target remote computer systems. The remote system  
is first checked for being available to be accessed via the  
network, then some standard operation system specific checks  
are performed, the hardware resources, in particular disc space  
5 is checked, and when all prerequisites are satisfied, then all  
files that are being remotely installed are combined into a  
single data stream which is sent over the network to the remote  
computer system where the data stream is separated again into  
the original files. After storage of the files, the new program  
version has however to be adapted to the client-specific  
10 environment which includes the above mentioned work to be done  
by system programmers. When this work is completed the new  
program version can be run at least for testing purposes.

A rough schema of that kind of prior art software maintenance  
15 can be seen from **fig. 1 A**. The data base 10 of a software  
vendor is depicted in which a plurality of software products is  
stored. When an end-user of a client system 12 wants to install  
a new version of a software product X which already exists in  
an older version on the client system the client system  
20 requests a download of the files corresponding during said  
upgrade process.

Said step of requesting is depicted with a single arrow 14.  
Then, the download is performed which is depicted with a  
plurality of arrows 16 and the new version is installed on the  
25 client system. The plurality of arrows illustrates the network

traffic required for doing this. When delta information is transferred instead of the complete files the new product version is comprised of the network traffic will be reduced to some degree.

5       The installing method described there reduces the amount of work necessary for system programmers because software can be installed without first installing a download program on the client computer system and because a user is allowed to either interactively select each client computer system for the  
10      intended software installation or to provide a batch-file which contains a list of all target computer systems.

15      This method, however, does not alleviate the above mentioned large amount of system programmer's work 11 except, that the work could be done centrally in the product vendor's environment and could be sent selectively to the target systems. This approach, however, would lead to much network traffic necessary for the 'push' process by which the customized software could be transferred to the large number of client systems.

20      OBJECTS OF THE INVENTION

It is thus an object of the present invention to reduce the amount of work to be provided in order to maintain software products in a plurality of client locations in a network

without increased network traffic.

#### SUMMARY AND ADVANTAGES OF THE INVENTION

This object of the invention is achieved by the features stated in enclosed independent claims. Further advantageous  
5 arrangements and embodiments of the invention are set forth in the respective subclaims.

Summarizing the basic concepts comprised of the present invention software products are installed and are maintainable in software repositories provided via network on target systems.  
10

This approach resembles a variety of warehouses in which the software products are offered as available goods.

The inventional method and system for software maintenance can be performed in a single command-oriented process. This  
15 centralizes and automates the work that is normally done locally for software maintenance. In that aspect the installation of fixes, testing and some customization is moved to central organizations, i.e., the repository providers.

Local customizations, bug fixes and modifications are placed  
20 into so-called local overlay repositories, to be described down below.

Viewed from a target system the software load activities, the cutover into production activities, possible fall back activities for running again the old program version in case of production problems, product removal activities and other 5 activities are reduced to single commands. Such commands can be sent to a single system or to a collection of systems. According to the basic concepts of the present invention a collection of software products is offered in a central repository. This can be provided by a central organisation within a big company or 10 by an external provider. Depending of the nature of the software offered and the clients to be served such central repositories may contain software products that fit together, that are on a very high fix level and that are tested together. Each update of a product to be offered should be put into an 15 own complete product deliverable from the repository. Old levels may be offered in parallel to serve customers that cannot use the latest fixes. Aliasing techniques can be used to avoid that identical data is kept several times within the repository. Thus, the directory structure which organizes the 20 products within the repository should advantageously identify the product and the delivery level, i.e., its version number.

With this aliasing aspect a version specific selection of files, or data, respectively, can be provided physically in the plurality of repositories.

25 Additionally, when a software product is provided in two

different versions, as e.g., 2.0 and 2.1, very often it comprises a subset of files which remain to be identical although the product versions are different. Those identical data can advantageously continued to be used by the client when  
5 they exist already on the client system. According to the present invention this is achieved by a compare of existing files between a client system and list which has been established by walking through the repository hierarchy.

Further, in order to minimize network load and the effect of technical outages central repositories or parts of them can be advantageously copied to decentralized locations called shadow repositories. Products that are stored in shadow repositories have to be the same as in the central repository. Further, customizations, local fixes and modifications associated with a  
10 software product are advantageously placed into so-called overlay repositories. It can advantageously be provided a hierarchy of overlay repositories, for example 'country level' and 'system level'. Country level repositories may contain national language support, code page definitions and other  
15 common customizations and add-ons specific for the plurality of clients of a whole country and contain thus some specific delta information relative to the centralized repository expressing e.g. Language or country specific data.  
20

System level repositories may contain local configurations and  
25 customizations specific for a target system or a group of them.

In case data from central repositories should be excluded from being moved to target systems, exclusion lists specifying said data can be prepared and placed somewhere in the repository hierarchy, e.g., onto overlay repositories.

5 Utilizing the above described system structure a product installation process as a part of the software maintenance work could proceed according to the present invention as follows:

10 First, a preparing step must be performed in order to 'fill' the repositories with the appropriate data. This can be achieved with an invention tool which creates the hierarchical overlay directories and helps to select the files that contain configuration and customization data and helps to put the files onto the hierarchical overlays. These 15 customizations and modifications can be done in a way that they are either valid for all product editions or for one fix level only.

20 This is a work which has to be done under participation of the experience of the system programmers which were performing the customization and nationalization procedures according to prior art. The great advantage of the software maintenance method described herein is that much of the work which is necessary for customizing any application program can be done once and the results of it can be stored in a local-level repository 20 as described above in order to be accessible for a large plurality of end-users. Thus, the customization work is

simplified and reduced.

When a software product is provided now centralized in a repository like described further above the system administrator/programmer reads the product documentation and checks whether any customization is needed for the end-user or the group of end-users he is occupied with. In case it is needed, he decides whether said customization can be done in the same way for multiple end-user systems or if customization needs to be done for one or more systems separately and selectively.

According to that decision he selects which hierarchical overlay level in the repository hierarchy should be entered for beginning an intentional 'pull-process' which selects the adequate data from the repository for a download to the end-user system(s).

In a mainframe adapted implementation of the tool as e.g., for the VM operating system, up to 255 parallel environments for one product on one system can be supported. That means that multiple parallel input hierarchies with different hierarchical overlays can be processed. Each parallel environment is processed completely separately.

In particular, the installation on Target Systems can be performed exemplarily as follows:

A STORE command is issued to a system or to a group of systems. Said command goes through the repository hierarchy - from local to top -level or reversed, local data overwrites central data - and creates a list of files. That list comprises per file the  
5 location in the repository where it could be taken from during the later download.

A list process runs as well against the target object, i.e.,  
10 file system, on the target system. In case target objects like  
directories or files do not exist they can be created  
automatically via exits.

Both lists are compared and it is decided which files will be  
needed to be downloaded. The list processing determines as well  
whether files of the product are already on the target object  
but belong to another product there, or whether product files  
15 exist already which are not assigned to any product. The latter  
ones can be 'adopted' to the product.

When merging those lists data placed on hierarchy levels closer  
to a target system overwrites data that resides on more far  
away levels. The tool implementing the inventionary maintenance  
20 method in a design for mainframe VM architecture advantageously  
searches from the top level down or the most local shadow or  
central repository level to the most local level repository. In  
other architectures this sequence, however, may be different.

The next step is the assignment of inactive names to the files.  
These are names that the files will have after they were  
downloaded, or if they are kept in an inactive format for later  
activation in case of a so-called backout request described  
5 further below, or in case of a product inactivation process.

Then, according to a preferred embodiment of the invention  
software maintenance method the delta data is downloaded from  
the repository hierarchy onto the target object and is placed  
there with inactive, hidden names. Additionally, the store  
10 process can be interrupted, and changes in the input hierarchy  
can be done. A later store request will detect changes  
effectuated in-between and will continue to move data without  
copying again data that was already moved.

In case of network interrupts advantageously a retry loop is  
started. After a successful store a protocol file is written  
onto the target object. This contains for each file 'file  
information' like time, date, size, its active name and all  
inactive names it has or it will get after the completed  
storage, after a product inactivation, or when replaced by a  
20 new file edition, and the location from where it has been  
copied from.

The requester of the STORE command is informed about the  
progress and the results of the activities. Only the  
preparation and the store activities need access to the

repository hierarchy. They run before the planned cutover and they don't influence the users, except that they contribute to the network load.

Then the activation or cutover into production is the next  
5 logical step. The files that have been previously stored with inactive names are renamed to the active names. Files that already exist and that will be replaced by a new edition will be renamed to the inactive 'backout' name before that. The same happens to erased files.

10 Said activation has many operating system specific aspects. In the exemplary VM implementation some files are updated that make the product known and available to the users. Product parts that are available via shared storage are loaded into that storage. Other operating systems need different additional activities for having the activation completed.  
15

The invention concepts comprise to provide some recovery processes as BACKOUT that turn back already performed activation steps in case one step fails, or, when the end-user is not content with the new products for example in case of  
20 production errors:

If the product breaks in production the previous accepted - see further below for explanation - production edition can be re-established via a BACKOUT request. Files that have been replaced by new editions and files that have been erased are

renamed again to their active names, while the new ones are renamed to inactive names. Operating system specific activities may be needed as well, a sample is the update of shared storage.

5 According to a further invention aspect - if a product runs well to everyone's satisfaction it should be accepted. That means all inactive data kept 'ready-to-run' for backout reasons is erased. The accept level defines what will be available again in case of a backout request after some other store / activate activities are performed again. So, ACCEPT is often the first step before a product is going to be refreshed on a system.

10  
15 A further INACTIVATE is rather a rare activity. Normally, a product resides on a target object and stays there while it is updated and maintained. New parts replace old ones via the store, activate, accept activities described above. Only if it is planned to remove a product completely from a system it should be inactivated. That means the product is removed from user access but it is still available in an inactive fashion.

20 If it turns out that it is still needed it can be activated fast again via an activate request. So, INACTIVATE helps in phasing out products securely. It has as well many operating system specific functions.

## REMOVE:

This means the real removal of the files of a product from a system.

The invention method enables for multiple products on one target object via the features of providing inactive names for product storing, activating, doing backouts and product inactivation. Other methods like doing a flip flop, i.e., a switching between active and inactive directories are possible as well and can be integrated into the invention method.

It should be noted that according to a preferred aspect of the present invention only the STORE command by which files are downloaded from the network need some connectivity. All other commands do not need a network connection. In general all features described above can be preferably be performed by a single command provided from the client.

With the features described above software maintenance of even very complex software systems comprising a number of single programs fit-together in order to form one total package for achieving particular business goals becomes easier to perform with decreased business risks and network traffic.

It should be noted that many of the above invention features can be applied independently from each other and provide specific advantages compared to prior art. In combination, however, their advantages are accumulated in most cases.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example and is not limited by the shape of the figures of the accompanying drawings in which:

5 Fig. 1 A, B is a schematic representation illustrating and comparing theventional software maintenance method with prior art,

10 Fig. 2 is a schematic representation of the file system structure in the software vendor's warehouses represented by the repositories depicted in fig. 1 B (top-level),

Fig. 3 is a schematic representation according to fig. 2 representing a middle-level warehouse,

Fig. 4 is a schematic representation according to fig. 3 representing the local-level warehouse,

15 Fig. 5A,B and 5C show a block diagram with the essential steps which are performed using theventional software maintenance method and system during an upgrade process of a product X offered by a software vendor.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

In fig. 1 B a summarizing overview reflecting some fundamental aspects of a first preferred embodiment of the inventional method and system is given describing what happens in the same situation as mentioned above while discussing prior art.

The client system 12 is provided with a set of three inventory lists each list reflecting the files present on each of the repositories of the repository hierarchy.

The inventional tool started from the client system first accesses a geographically and logically associated local-level repository 20 belonging to a software vendor. A compare between the respective inventory list and the list of files already present in the client system from older version of the product X yields the selection of files to be downloaded. Often, from the local-level repository the most files belonging to the new version are downloaded. In these downloaded files most of the customization and client-specific modifications are already included. Then the client system accesses the middle-level repository 22, where the selection is repeated and for example some country-specific files relevant for the product X can be downloaded.

Finally, the top-level repository 24 is accessed and the rest of file information is downloaded to the client system in a

similar manner.

Supposing now that the local-level repository is located geographically not far from the client system the network traffic can be reduced according to the present invention as  
5 the majority of network traffic happens locally between the local-level repository 20 and the client system 12. Only a few network traffic is necessary for transferring the files from the middle-level repository 22 and the top-level repository 24, respectively.

10 Details of said processing are described later with respect to fig. 5A, 5b and 5C which illustrate a similar method with a modified control flow, however, in order to demonstrate the large scope of the present invention.

15 In fig. 2 an exemplary file system structure representing the warehouse of the software vendor and the software products to be offered therein is depicted for the top-level, i.e.  
20 centralized repository. Here, a subset of products X, Y and Z are depicted. For a product X the program versions 1.0, 1.1 and 1.2 are offered. For a product Y versions 5.0, 5.1 and for a product Z versions 3.0, 3.1 and 4.0 are offered. The files are stored in a file system structure logically separated so that deliverable files are stored in a directory which reflects the version number. When a file was not updated from one version to the other version it needs to be stored only once in the

depicted file system tree. As it is intended by the exemplary software vendor that in each version-specific directory a complete file ensemble can be downloaded, advantageously some logical links can be made to the location of the physical existent file instead of storing identical files on multiple locations in the file system. This 'aliasing' feature is already known in prior art.

In fig. 3 a similar representation is given for the middle-level repository also representing the products offered in the warehouse of the same software vendor.

According to the basic concepts of the present invention in such a middle-level software repository for example country-specific information can be offered to the clients in form of program configuration files which are specific relative to country-language, country-name, country-dial-code or further country-specific information, as well as country-level fixes.

Advantageously for each of the offered program versions - for example for product X the versions 1.1. and 1.2 are offered - a specific directory can be accessed which holds the files necessary to be copied for upgrading to the respective version when a particular older version is already present on the target system. For example, in order to upgrade to version 1.1 two directories are accessible, i.e. one which has to be accessed when a client system wants to upgrade from version 1.0

to version 1.1, and a different directory which can be accessed when the client wants to upgrade from a version 1.05 to version 1.1. Corresponding information is given in fig. 3 for version 1.2 offered in the warehouse.

5 For product Y and product Z corresponding directories are provided which, however, are not depicted in the drawing for reasons of an improved clarity of the drawing.

In fig. 4 a similar representation is given for the local-level repository in which the software vendor's warehouse file system is depicted schematically. The file system structure is identical to that one depicted in fig. 3 except that product Y is not present as for product Y there are no files present in this level. In the directories depicted for products X and Z, however, files are stored which contain advantageously local customizations which are applicable for the client system in question or, for a plurality of client systems which can be subsumed under a common client system group. Further, group-specific modifications and fixes are stored as files in the corresponding directories. Advantageously, but dependent of 20 the respective case, in the local-level repository the stored files comprise already user customization information, user-specific modifications like user-exits which are particular to a certain subset of end-users. This information stored in these files is delta information in respect to the 25 collection of files stored in the centralized repository.

In other words, all the individually required work which was already done by a system programmer in context with any earlier customization and modification can be re-used again without a greater effort of programming.

5 Further, in this way a system administrator can perform an upgrade process which is addressed to a corresponding plurality of end-users.

For product Y and product Z similar files are offered but are not depicted for improved clarity of the drawing, as well.

10 It is obvious that the filling of the sub-level and local-level repositories is described in relation to specific requirements given by a particular situation which was chosen arbitrarily. Thus, a plurality of different ways to fill the hierarchy with files can be expected depending on the actual business  
15 situation and system environment.

With reference now to **fig. 5A** a typical upgrading process will be described according to the invention method and disclosing the basic invention aspects, as well as advantageous, optional aspects applied in mainframe VM operating system  
20 environment. Other environments like UNIX, or WINDOWS are possible, as well. The preparing procedure described above for filling the repositories is assumed to be completed, before.

In a first step 110 the user of a product X decides to try an upgrade from version 1.1 which is existent on the user's hardware platform to version 1.2. In the exemplarily described embodiment of the present invention said end-user asks a system operator occupied with software maintenance to perform the desired upgrade process. Thus, the he uses the software maintenance method according to the present invention in order to perform the intended upgrade process via a download process in the network with which the end-user's computer system is connectable with. Thus, the system operator **first** goes on-line.

In a next step 120 the system operator uses a command, called MOUNT in order to go through the repository hierarchy and 'mount' any required hard disk in the respective level, step 120, 140, 160.

On each level - beginning with the top-level, step 130, followed by the sub-level, step 150 and by the local-level-step 170- an inventory list is created - inventory lists 1,2,3 for the desired upgrade procedure which lists each list of file-related data, for each file indicating at least the location from where it could be copied and other file information like inactive name, etc. Which is described later in more detail.

Then, in a step 180 all three lists are merged. When the same file name exists a local-file overwrites a file from a higher

range of the hierarchy pyramide.

Prior art exclude lists can be applied, step 190, when some particular centralized offered files are not intended to be installed in a client system as e.g., Italian language support  
5 for a system which is sold in the United Kingdom. Thus, they are cut away from the merged total input list.

Then, in a step 200, an inventory list of the target system is generated, or used if already present somewhere, e.g., on the client system itself, or elsewhere in order to determine which  
10 files need not to be downloaded in order to have a complete set of files needed for a ready-to-run version of the upgraded program.

Then, in a step 210 the target list is compared with the total input list and the existing files on the client system are subtracted, i.e., removed from the input list in order to generate a download list.  
15

Then, file names are created for all files resting in the input list which indicate a name specification usable to indicate that the files first can remain inactive after a completed  
20 download onto the client system, step 215.

Then, the download is performed according to the source location specifications comprised for each file contained in

the list, step 220. Thus, a plurality of files is expected to  
be downloaded from the most local repository 20, and a second  
plurality from the sub-level or middle level repository 22,  
whereas only a relatively small fraction of files has to be  
5 copied from the centralized top-level repository, or shadow  
repository, respectively, as depicted in fig. 1 B.

This saves network traffic compared to downloading a complete file ensemble the new version is comprised of in total.

10 After the download the online connection can be terminated and  
further maintenance can be performed offline.

15 According to further, advantageous aspects, the software  
maintenance method disclosed herein includes the possibility to  
install a new program version on a client computer system  
without deleting an older version already existing on the  
system. Further improving this approach it is possible  
according to the invention method and system to go off line  
after having downloaded the new program versions files and to  
install the new version separated from the existing version in  
order to enable the user to run the new version for testing it  
20 and - if the user is not content with the new version - to  
recover the old version without larger efforts with respect to  
copy of file names, restoring the system environment of the old  
version, recovering possibly modified data input and output,  
etc. This advantageous aspect is performed in the exemplary  
25 embodiment described herein with some commands referred to as

ACTIVATE, BACKOUT and ACKNOWLEDGE.

In order to achieve these advantageous aspects all files which have to be downloaded must be associated with some attributes. These attributes comprise: the path and file name of the file  
5 to be downloaded, the file name which is used in order to address it when the program version to which the file belongs is activated, some further operation system-specific data, some file names, used to identify the concerned file in a BACKOUT process, in an INACTIVATE process, to identify the file in the  
10 warehouse of the local-level repository 20, and some status information reflecting an intended action and completed actions which were done with the respective file.

During the download of step 220 some network traffic is generated between the local-level repository 20 and the client system 12, as it is depicted in fig. 1 B. When a software provider, or a software vendor which supplies a large geographic region like a continent or a plurality of continents with some number of software products he can advantageously establish on each continent a kind of central, or top-level  
20 repository with a plurality of middle-level repositories each associated with the top-level repository in order to store the programs offered by him in an improved way compared to storing them only in a centralized manner. In further improvement of said aspect the repository hierarchy can be extended in order  
25 to comprise a plurality of third-level repositories each

connectable with one or more associated middle-level repository  
and an associated top-level repository. Further, some shadow  
repositories can be provided which comprise the full complete  
amount of files, i.e. the complete file ensemble of each of the  
5 versions offered by the software vendor as already indicated  
above.

With reference now to **fig. 5C** in a step 230 all downloaded  
files are now placed in an inactive format on the client system  
associated to the end-user. The status of the files of being  
10 inactive can be made public for the operating system by  
referencing the above mentioned file attributes, in this case a  
status field which is filled with an 'inactive flag', or, as  
described above, with a file name which is able to identify  
said inactive status. Then, the end-user decides to test the  
15 new program version.

Thus, in a step 240 he activates the new version 1.2. As  
reveals from the above described procedure the step of  
activating the new version is independent of the download  
procedure. This is an advantageous effect because it saves  
20 money due to reduced online time and because it decouples both  
processes. So, any download interruption has no influence on  
the existing file system architecture of the running and  
operative old version of the application program to be  
upgraded.

In particular, the activation of the new version means that the old version is inactivated. In particular, this is achieved by renaming the new files from inactive names to active names and renaming the former active files to 'backout' file names  
5 before. The status field of each file is changed correspondingly.

Then, the end-user who desired the new program version can run the new version and can test if it satisfies his expectations.  
10 In order to do that the end-user runs the new version program with a plurality of input and configuration data and checks for a proper operation, step 250, step 260. Then he decides if the new version is working satisfactorily or not. If there are no serious problems - see the yes branch of decision 260 - the user decides to primarily work with the new program version.  
15 Thus, he or the system administrator uses a certain command comprised of the basic concepts of the present invention, i.e. the ACKNOWLEDGE command which acknowledges to the operating system that the new version is the standard program version to be used in future.  
20 It should be noted that the step 270 of acknowledging a new version is not at all required for a regular and repetitive, 'daily' operation of the new program version. Instead, it will often be performed just before a next version - for example version 1.3 - of the same product will be installed according  
25 to the same method of software maintenance as described herein.

If the new program version causes serious problems, the NO-branch of decision 260 - the user does not wish to continue the work with the new program version. Instead, he is able to decide to reactivate the former version 1.1, step 280. This is  
5 done by a single REACTIVATE command signaled to the operating system as described above. In this case the attributes are updated correspondingly as described above, the old system environment and input and output files can be used in order to guarantee a proper working of the old version 1.1.

10 Thus, anyhow, the end-user is able to run an adequate and satisfying version of his program, step 290.

15 It should be understood that the invention concept is open in order to integrate one or more additional hierarchy levels, or, to omit one so as to work with only two different levels.

20 Furthermore, the above mentioned shadow repositories can be established so as to economize network traffic because a plurality of shadow repositories shadowing and replicating the data per se present in the single and central repository contribute to shorter-distance network connections during the required download processes.

In the foregoing specification the invention has been described with reference to a specific exemplary embodiment thereof. It will, however, be evident that various modifications and

changes may be made thereto without departing from the broader spirit and scope of the invention as set forth in the appended claims. The specification and drawings are accordingly to be regarded as illustrative rather than in a restrictive sense.

5 For example, the sequence in which the repositories depicted in fig. 1 and described with reference to fig. 5A, 5B and 5C are accessed can be varied from top to down or from down to top. It must be made sure, however, that the more customized and more local files overwrite files which were copied from a more central repository in case of being redundantly stored in a plurality of repositories.

10 According to a further preferred aspect of the present invention a so-called look-aside process can be integrated into the above described upgrade procedure. In case somewhere close to the target client system is a second target system having already installed a plurality of files which are required to be downloaded the procedure can look 'aside' to said second system and copy said files from there using a LAN instead of a long distance remote connection for download. This can happen in a  
15 case when said second 'neighbor' system is already equipped with the files of any higher version than installed on the target system - but the new version is not yet switched productive on said second system.

20 Further, the way in which the files belonging to a respective

version are identified to the operating system of the client computer system can be varied. A reliable prior art method for doing this is modification of file names as described above, but depending of the facilities of the associated operation 5 system variation of file attributes with a corresponding operation system control can do the same.

The invention software maintenance method and system is open to be applied for installing a plurality of products, i.e. product X, product Y and product Z to the same target system. 10 This is achieved by maintaining an inventory list for each product or product part on the client system. Said inventories form a reference to the active and inactive data associated to each product.

Further, according to the present invention no packaging files 15 are required which describe which data or which files belong to which product versions. Said association can be achieved by the directory structure and the data stored therein, respectively.

Further, a tool-internal 'UNDO' feature can easily be integrated into the invention method which works separately 20 from the BACKOUT process described further above. Via this feature the previous version can be fetched back into activity. Said previous version need not necessarily be the last ACCEPTED version. It should be noted that said UNDO works stand-alone, i.e., offline from the network.

Further, the invention method and the program tool  
implementing said method can be extended to integrate dedicated  
exit points which are freely programmable with a special  
purpose dedicated code and represent particular interfaces in  
5 order to fulfill different tasks like change-problem  
management, reporting licence management, etc.. Said exits can  
be invoked advantageously before and after a particular request  
or run of the tool, respectively, before and after a target  
system was completed to be analyzed by said tool. Said exits  
10 may be dedicated for all products in question, or for a  
particular subset of them - dependent of the products and the  
systems in question. Exits can also be advantageously be used  
in order to place customization data, i.e., files or data like  
e.g., an IP address into a repository, and preferably into the  
15 local repository.

The present invention can be realized in hardware, software, or  
a combination of hardware and software. A software maintenance  
tool according to the present invention can be realized and  
stored on a data carrier and be run from a client system, for  
20 example. Any kind of computer system or other apparatus adapted  
for carrying out the methods described herein is suited. A  
typical combination of hardware and software could be a general  
purpose computer system with a computer program that, when  
being loaded and executed, controls the computer system such  
25 that it carries out the methods described herein.

The present invention can also be embedded in a computer program product, which comprises all the features enabling the implementation of the methods described herein, and which - when loaded in a computer system - is able to carry out these

5

methods.

Computer program means or computer program in the present context mean any expression, in any language, code or notation, of a set of instructions intended to cause a system having an information processing capability to perform a particular function either directly or after either or both of the following

- 10  
10
- a) conversion to another language, code or notation;
  - b) reproduction in a different material form.

## C L A I M S

1       1. A method for maintaining software products implemented in a  
2       plurality of files in client computer systems (12) located  
3       decentralized relative to at least one central software  
4       maintenance institution (10,24) via a network with which  
5       they are connectable with, the method comprising the steps  
6       of

7       providing product information in the network system for  
8       making it available for said plurality of client systems,

9       the method being characterized by the step of:

10      performing a software maintenance action from the client  
11     site by downloading the data required for said maintenance  
12     from a sequence of repositories, said sequence of  
13     repositories comprising at least one repository more  
14     dedicated for at least one particular client system (12)  
15     and at least one repository less dedicated for said client  
16     system (12).

1       2. The method according to claim 1 in which downloading is  
2       decoupled from activating a downloaded program by  
3       downloading files in an inactive format which comprises all  
4       information required for a later activation of said  
5       program.

- 1       3. The method according to claim 1 in which a fall back to an
- 2       older program version can be achieved by inactivating the
- 3       newer version and activating (240) the older version.
- 4
- 1       4. The method according to claim 1 in which said step of
- 2       performing said maintenance action serves for an upgrade of
- 3       a program on at least one target system and said step
- 4       comprising the steps of
- 5
- 6       generating (130, 150, 170) an input list of files
- 7       downloadable from said at least two repositories (20, 22,
- 8       24),
- 9       generating a list of files present on said target client
- 10      system (12),
- 11      comparing (210) said lists, and
- 12      downloading (220) only files which are not yet present in
- 13      the target client system.
- 1       5. The method according to the preceding claim in which a
- 2       total input list is generated by subsequently accessing the
- 3       repositories and by merging said input lists with a
- 4       priority of more local files.

- 1       6. The method according to the preceding claim further  
2       comprising the step of integrating files into the target  
3       system which have been identified by a look-aside procedure  
4       as residing in a neighbor system easier to be accessed by  
5       the target system than one of said repositories (20, 22,  
6       24).
  
- 1       7. A system in which the method according to any of claims 1  
2       to 6 can be performed.
  
- 1       8. The system according to the preceding claim in which a file  
2       system hierarchy is provided in a plurality of  
3       hierarchically arranged repositories (20, 22, 24).
  
- 1       9. The system according to the preceding claim in which said  
2       at least one repository (20, 22) is an overlay repository  
3       in which basically delta information is provided.
  
- 1       10. The system according to the preceding claim in which  
2       country-level (22) and system-level (20) repositories are  
3       provided.
  
- 1       11. The system according to the preceding claim in which  
2       shadow repositories are provided.

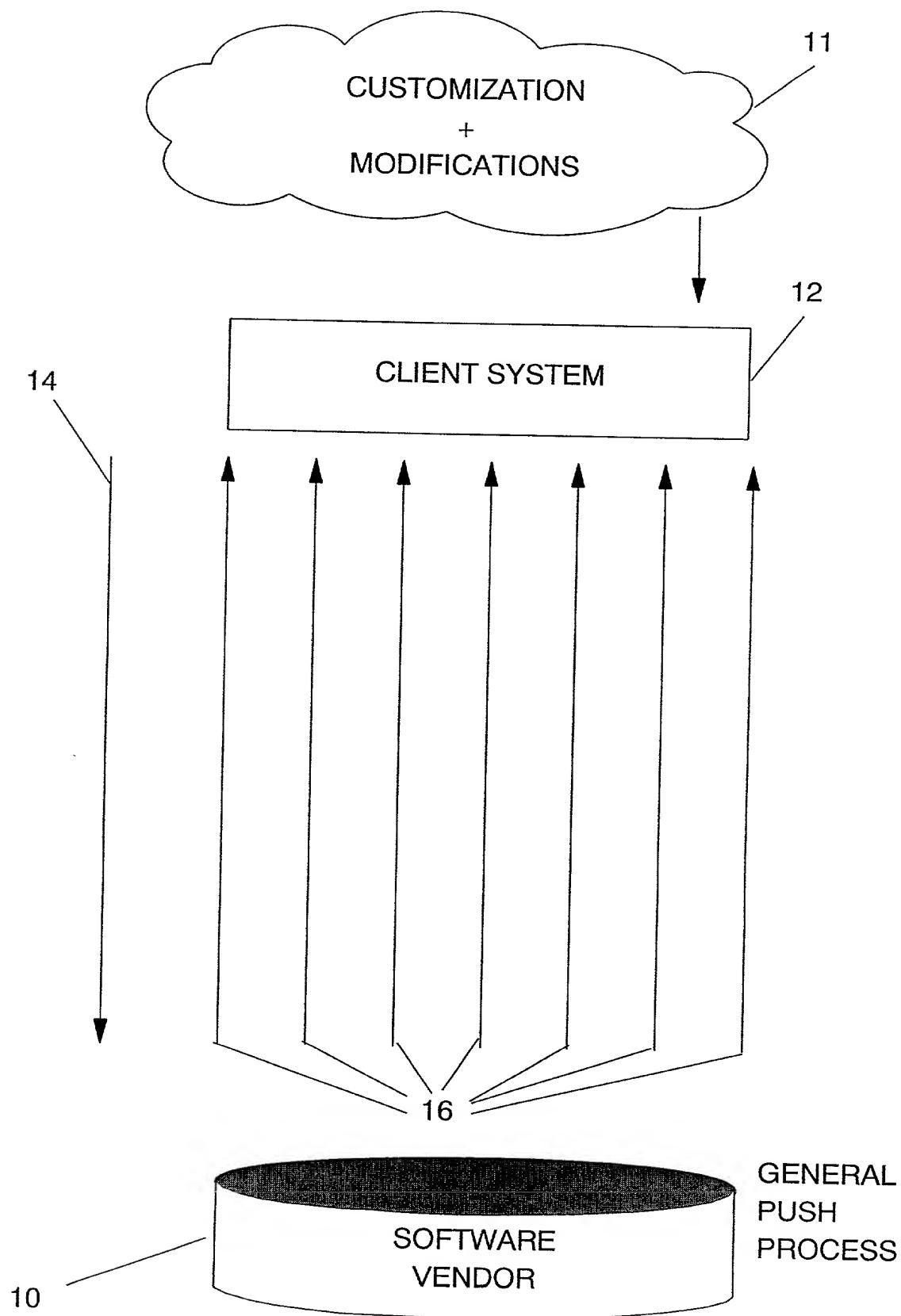
- 1        12. Computer program comprising code portions adapted for
- 2                performing the steps according to the method according to
- 3                one of the preceding claims 1 to 6 when said program is
- 4                loaded into a computer device.
  
- 1        13. Computer program product stored on a computer usable
- 2                medium comprising computer readable program means for
- 3                causing a computer to perform the method of any one of the
- 4                claims 1 to 6.

## A B S T R A C T

## Method and System for Maintaining Software via Network

The present invention relates to a method and system for maintaining software products installed on client systems (12) via network. It can advantageously be provided a hierarchy of overlay repositories (20, 22), for example 'country level' and 'system level'. Country level repositories may contain national language support, code page definitions and other common customizations and add-ons specific for the plurality of clients of a whole country.

A dedicated command is issued to a system or to a group of systems. Said command goes through the repository hierarchy - from local to top-level or reversed, local data overwrites central data - and creates a list of files. That list contains per file the location in the repository where it will be taken from during the later download.



PRIOR ART

FIG. 1 A

## SELECTIVE MULTI-LEVEL PULL-PROCESS

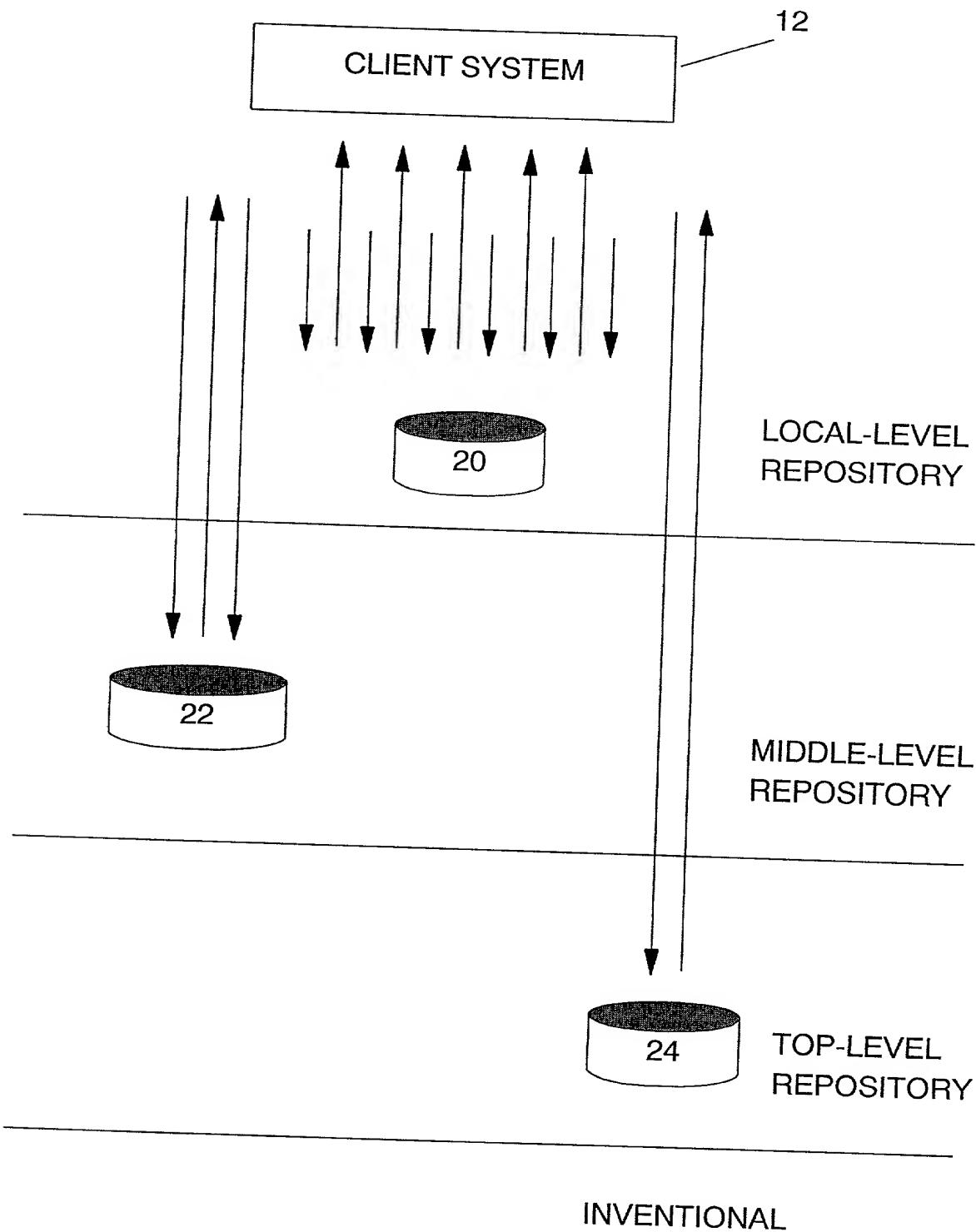


FIG. 1 B

WAREHOUSE PRODUCTS  
TOP-LEVEL = CENTRALIZED

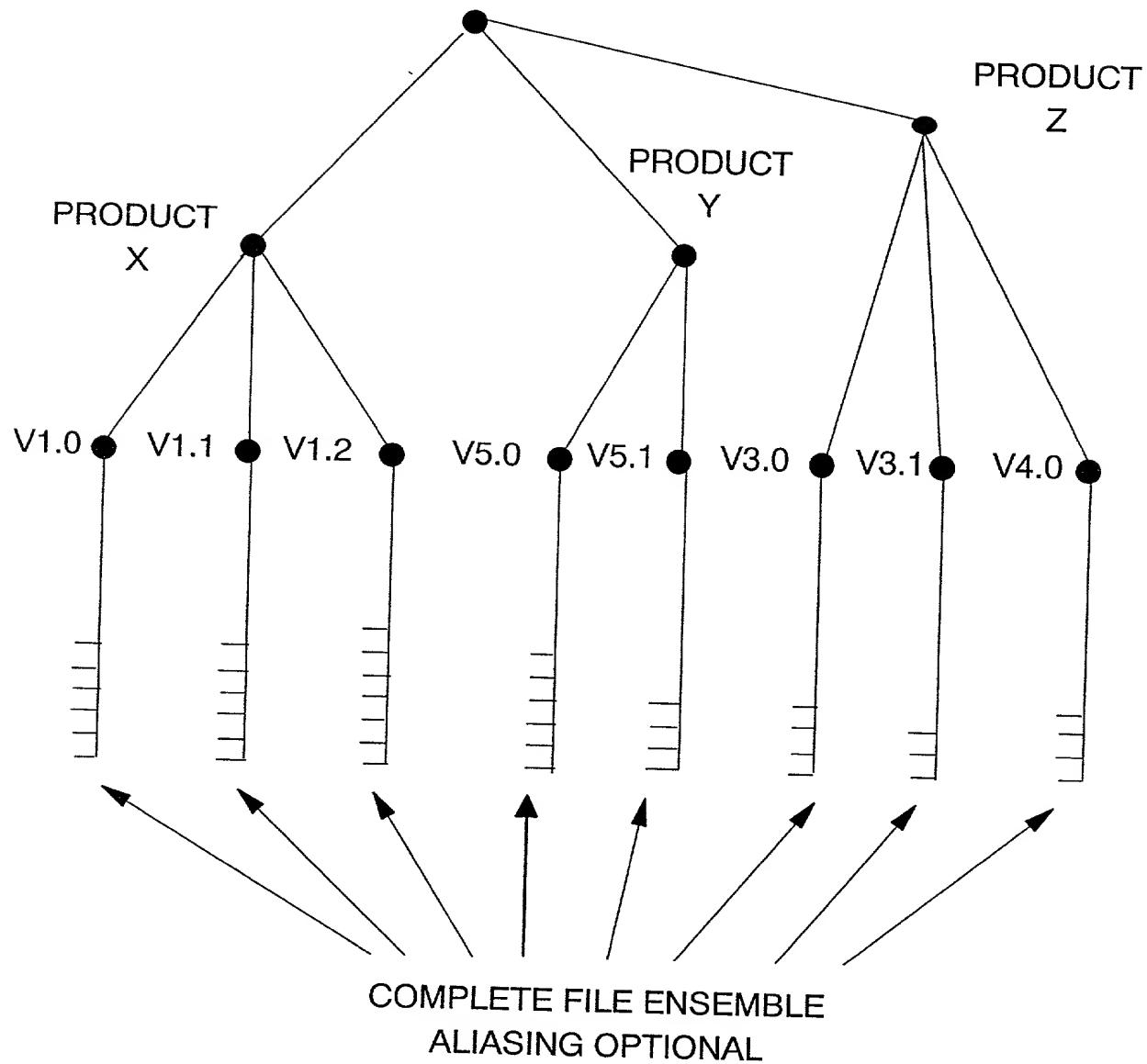
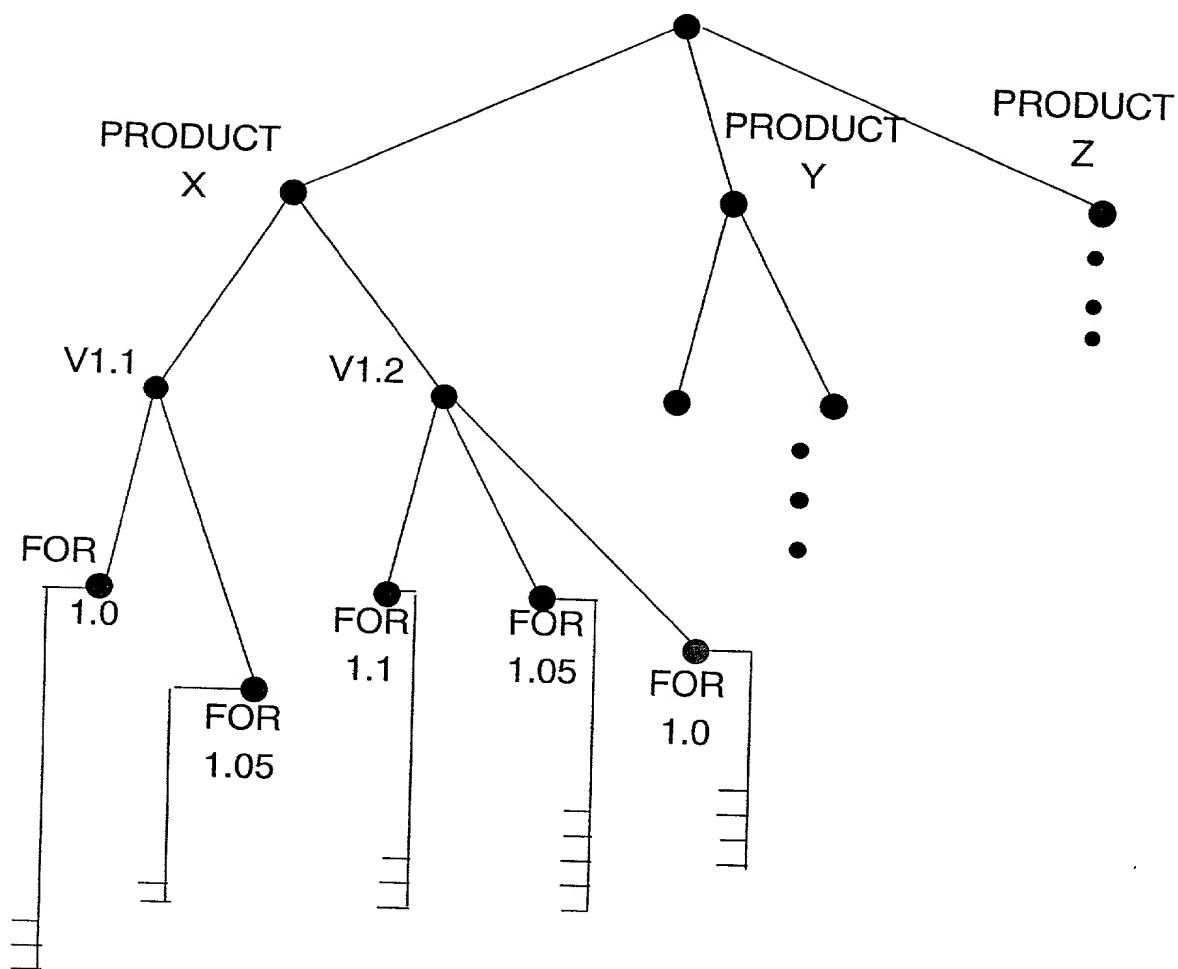


FIG. 2

WAREHOUSE PRODUCTS  
MIDDLE-LEVEL



FILES ONLY:  
- NATION SPECIFIC  
- FIXES

FIG. 3

WAREHOUSE PRODUCTS  
LOCAL-LEVEL

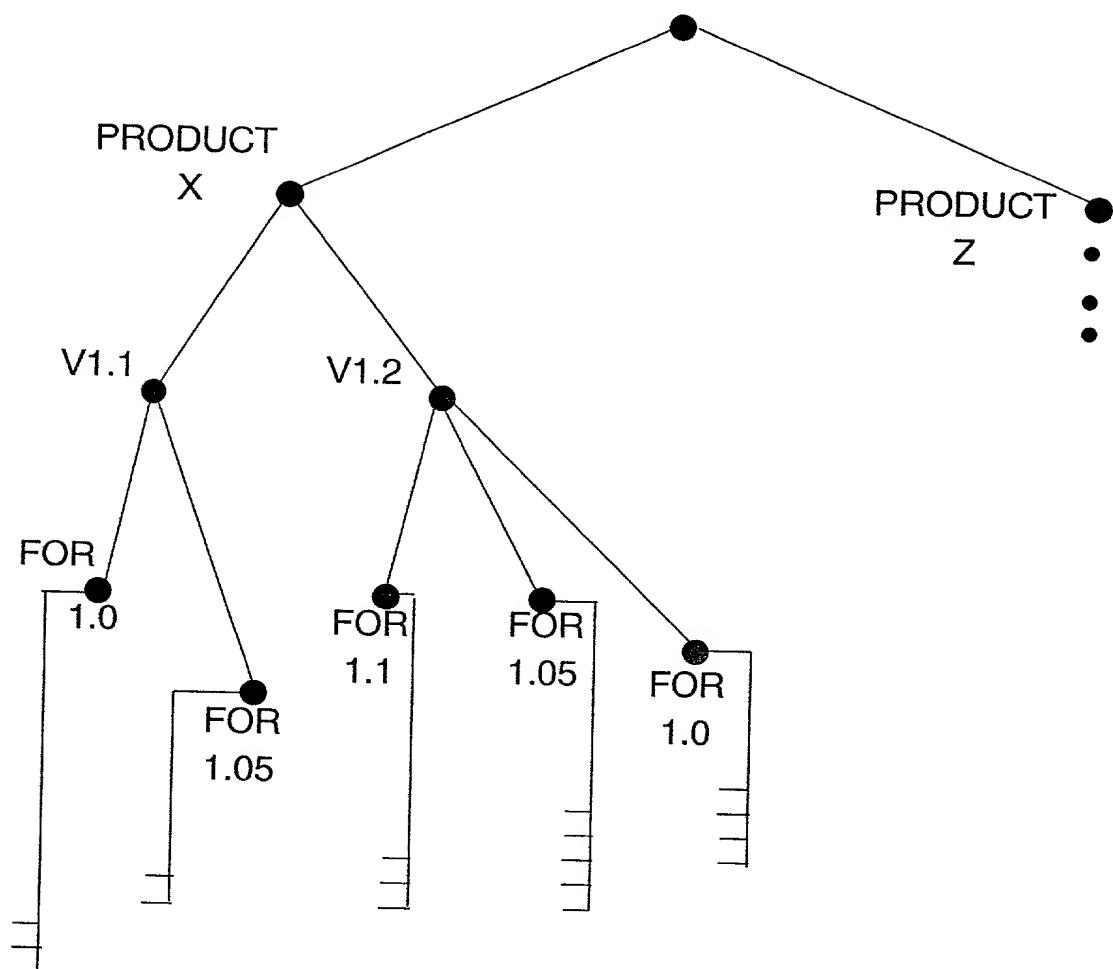


FIG. 4

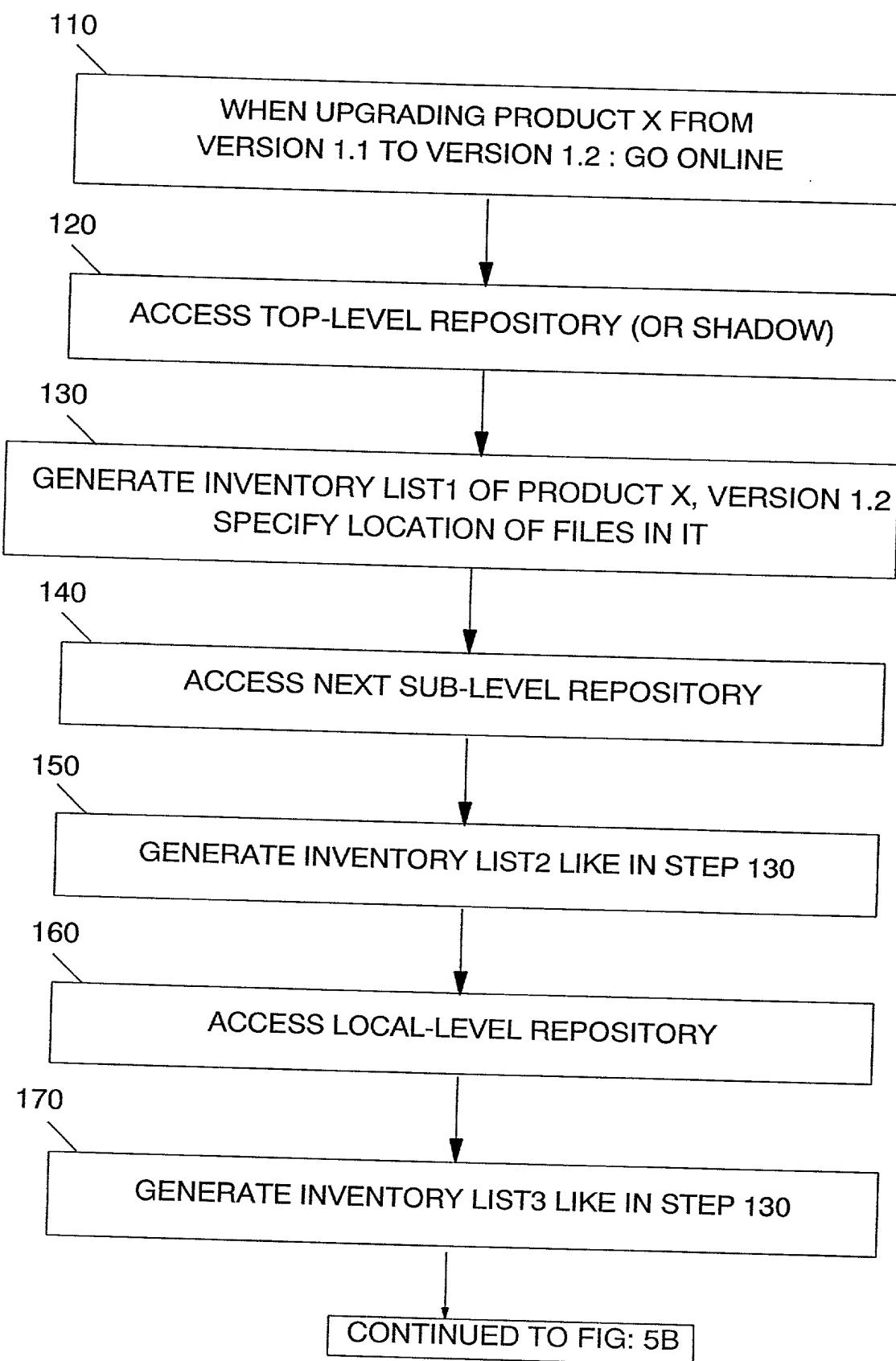


FIG. 5A

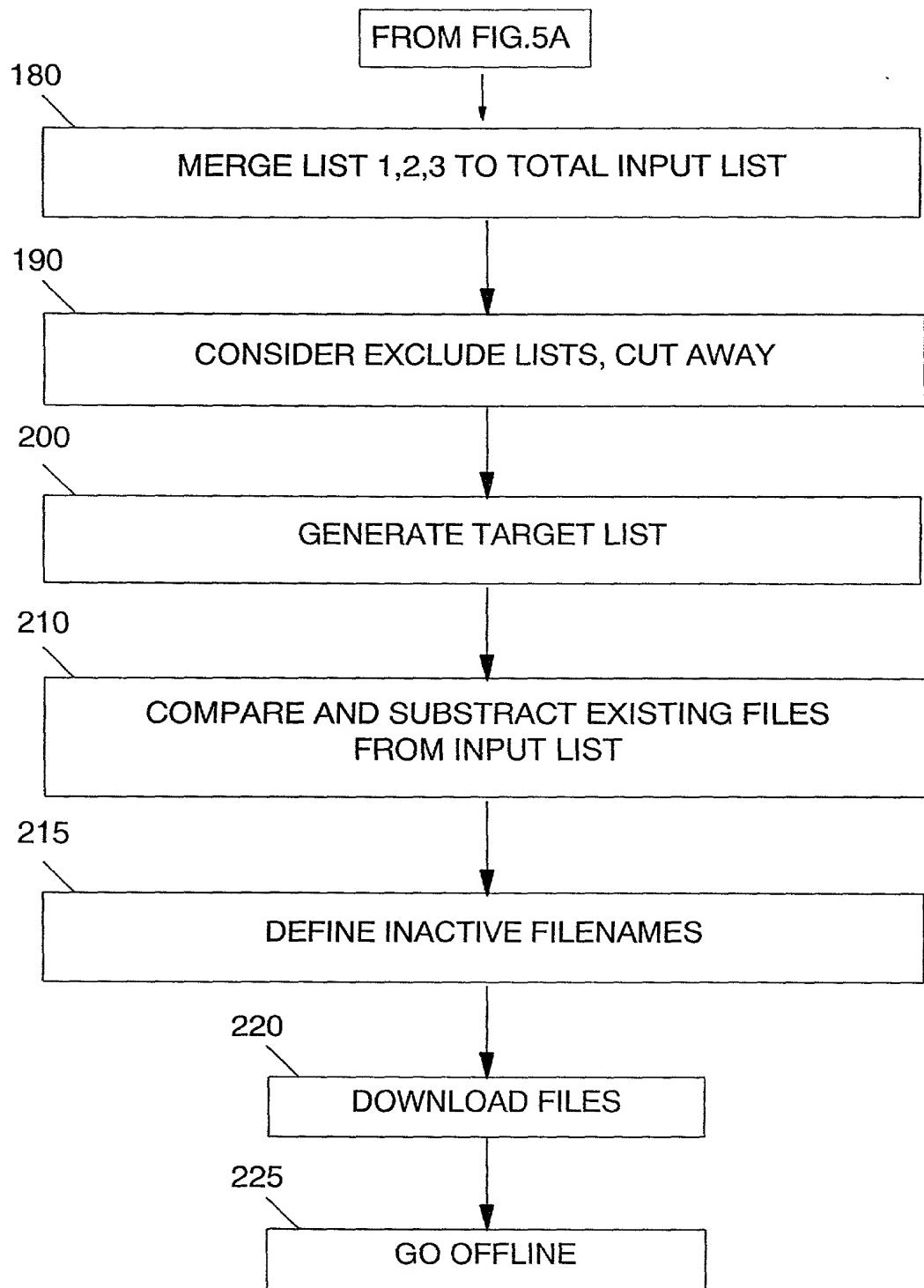


FIG. 5B

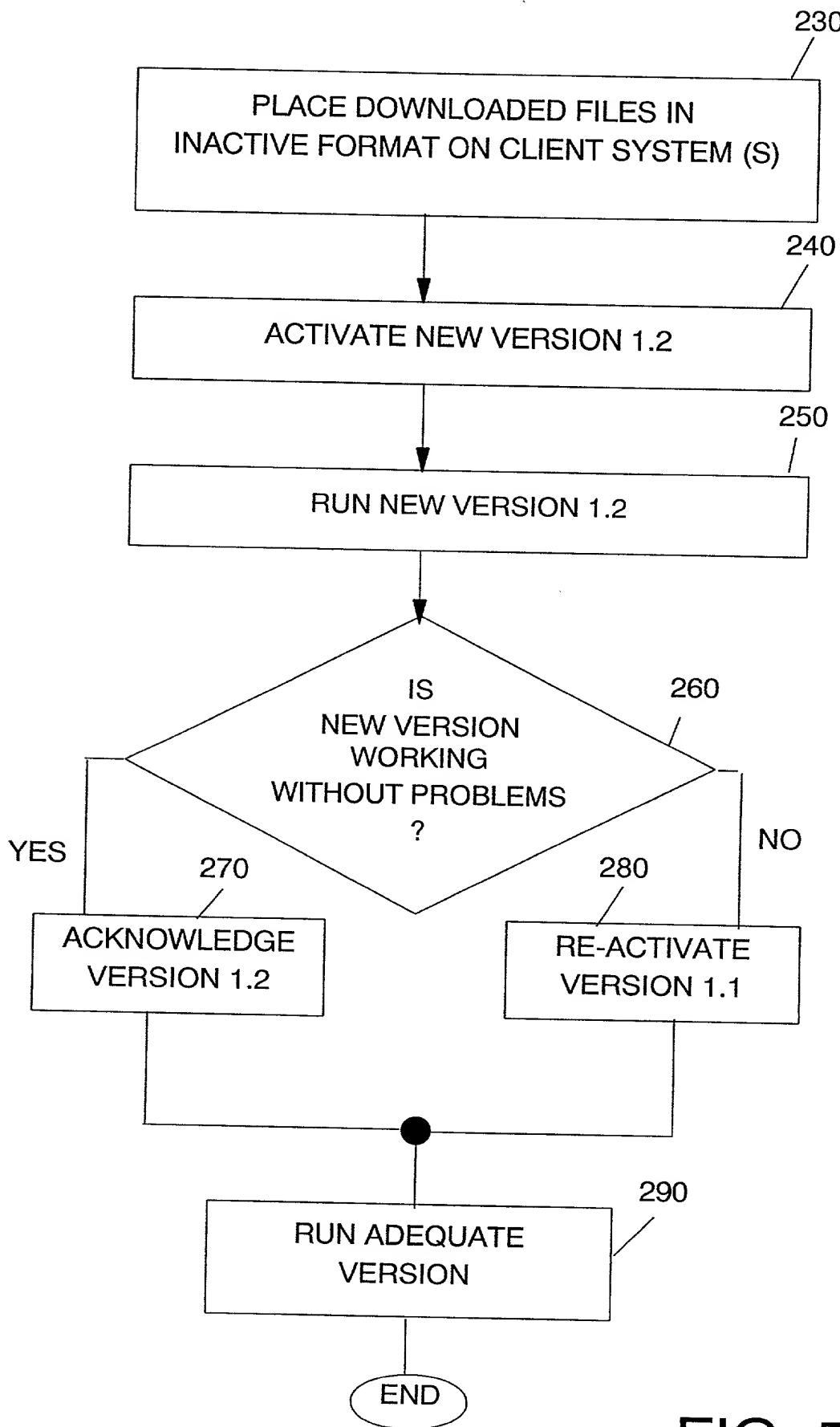


FIG. 5C

**Declaration and Power of Attorney for  
Patent Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Method and System for Maintaining Software via Network**

the specification of which (check one)



is attached hereto.



was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above- identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Number	Country	Day/Month/Year	Priority Claimed
99123831.2	Germany	01/12/99	Yes

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Applications:

Serial No.	Filing Date	Status
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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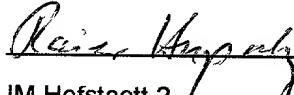
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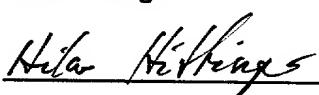
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